

INVESTOR CHARTER ANNEXURE B

DATA FOR MONTH ENDING APRIL 30, 2026:

SR. NO.	RECEIVED FROM	PENDING AT END OF LAST MONTH	RECEIVED	RESOLVED*	TOTAL PENDING #	PENDING COMPLAINTS > 3 MONTHS	AVERAGE RESOLUTION TIME^(in days)
1.	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2.	SEBI(SCORES)	0	0	0	0	0	0
3.	OTHER SOURCES(IF ANY)	0	0	0	0	0	0
	GRAND TOTAL	0	0	0	0	0	0

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in current month

Trend of monthly disposal of complaints:

SR. NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED	RESOLVED*	PENDING#
24.	APR, 2026	0	0	0	0
23.	MAR, 2026	0	0	0	0
22.	FEB, 2026	0	0	0	0
21.	JAN, 2026	0	0	0	0
20.	DEC, 2025	0	0	0	0
19.	NOV, 2025	0	0	0	0
18.	OCT, 2025	0	0	0	0
17.	SEP, 2025	0	0	0	0
16.	AUG, 2025	0	0	0	0
15.	JUL, 2025	0	0	0	0
14.	JUN, 2025	0	0	0	0
13.	MAY, 2025	0	0	0	0
12.	APR, 2025	0	0	0	0
11.	MAR, 2025	0	0	0	0
10.	FEB, 2025	0	0	0	0
9.	JAN, 2025	0	0	0	0
8.	DEC, 2024	0	0	0	0
7.	NOV, 2024	0	0	0	0
6.	OCT, 2024	0	0	0	0

5.	SEP, 2024	0	0	0	0
4.	AUG, 2024	0	0	0	0
3.	JUL, 2024	0	0	0	0
2.	JUN, 2024	0	0	0	0
1.	MAY, 2024	0	0	0	0

*Inclusive of complaints of previous months resolved in current month

#Inclusive of complaints pending as on last day of the month

Trend of Annual Disposal of Complaints:

GRAND TOTAL 0

SR. NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED	RESOLVED*	PENDING#
1.	2021-22	0	0	0	0
2.	2022-23	0	0	0	0
3.	2023-24	0	0	0	0
4.	2024-25	0	0	0	0
5.	2025-26	0	0	0	0

*Inclusive of complaints of previous years resolved in current year

#Inclusive of complaints pending as on last day of the year

Complete Name of Investment Adviser as registered with SEBI

RAJIV SHAH(PROPREITOR: RMS INVESTMENTS)

TYPE OF REGISTRATION

INDIVIDUAL

REGISTRATION NUMBER, VALIDITY OF REGISTRATION

REGISTRATION NUMBER INA000007933 dated 28/6/17 till perpetual

BASL NUMBER : BASL1150

Complete Address with Telephone numbers

REGISTERED OFFICE :- R. NO. K1 4TH FLOOR 67/69 DADA MANZIL MOHAMMAD ALI ROAD MUMBAI-3

TELEPHONE :- +91 22 23434674

Contact Details of Principal Officer

RAJIV SHAH – 91 9022130230 @ rmsinvestments@outlook.com

Corresponding SEBI regional office address

SEBI BHAVAN BANDRA KURLA COMPLEX BANDRA EAST MUMBAI – 51

[TEL:- +91 22 40022888](tel:+912240022888)

Investor Complain Website Address:

<https://smartodr.in/login>